Compliance Areas	Compliance Areas								
1. Academics and Admissions	5. Clinical	9. Global	13. Research						
2. Accessibility and Diversity	6. Copyrights and Trademarks	10. Information Privacy and Security	14. Student Related (not covered elsewhere)						
3. Accounting and Finance	7. Donors, Gifts and Endowments	11. Intercollegiate Athletics	15. Technology and Licensing						
4. Campus Safety	8. Environmental Health and Safety	12. Labor and Employment - Human Resources	16. Other Areas						

<u>PLEASE NOTE</u>: This document is meant to provide general guidance only and is not legal advice, nor is it an exhaustive or definitive list of all compliance obligations, roles, or responsibilities. For more information, please visit <u>University Compliance & Ethics</u>.

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
Academics / Admissions  Senior Vice President for Academic Affairs and Provost  Vice President for Enrollment Management and Dean of	Academic Information (prospective and current students)	Availability of information on accreditation, campus safety, diversity, placement, retention statistics, course information, transfer policies, and planned changes to academic programs.	Office of Academic Affairs Office of Undergraduate Admission	Higher Education Opportunity Act (sections related to student disclosures, policies, and accreditation) NC-Sara (The National Council for State Authorization Reciprocity Agreements)	https://admission.tulane.edu/ https://oair.tulane.edu/	Assistant Provost for Assessment and Institutional Research Associate VP University Financial Aid, Assistant VP University Financial Aid
Admission	Admission Requirements (Undergraduate)	Required accessibility to admissions and institutional information including institutional statistics and course information. No discrimination is allowed on the basis of age, military deferment, diversity, or accessibility.	Office of Academic Affairs Office of Undergraduate Admission	Higher Education Opportunity Act (sections related to admissions) Age Discrimination Act Also see list in Accessibility and Diversity	https://admission.tulane.edu/ https://oair.tulane.edu/	Additional Compliance Partners engaged as needed.
	Financial Aid	Required information on costs, financial aid programs, selection criteria, any applicable loan, grant, etc. terms, price calculator, status of financial aid (if currently received), and student rights and responsibilities in receiving financial aid.	Financial Aid Office	Truth in Lending Act Student Right to Know Act Higher Education Opportunity Act (sections on loans, grants and financial aid)	https://financialaid.tulan e.edu/	
Accessibility and Diversity  Vice President for Human Resources and Institutional Equity  Vice President, Student Affairs	Disability Access (Buildings, Services)	Disability-related accommodations for staff, faculty and guests, and student non-academic related accommodations (club sports, dorm activities, transportation, etc.).	Goldman Center for Student Accessibility  Housing & Residence Life  Office for Campus Accessibility, Office of Human Resources & Institutional Equity (OIE)	Americans with Disabilities Act The Fair Housing Act Section 504 of the Rehabilitation Act	https://accessibility.tula ne.edu/ https://housing.tulane.e du/residence-life	Executive Director for Campus Accessibility & ADA/504 Coordinator  Housing Committee  Associate Provost for Diversity and Faculty Development / Chief Diversity Officer  Additional Compliance Partners
	Disability Access (Online, Electronic)	Disability-related accommodations for staff, faculty and guests, and student non-academic related accommodations (websites, electronic materials, video captioning, etc.).	Goldman Center for Student Accessibility  Office for Campus Accessibility, Office of Human Resources &	Americans with Disabilities Act Section 504 of the Rehabilitation Act Section 255 of the Communications Act	https://accessibility.tula ne.edu/ https://hr.tulane.edu/dis ability-accommodations	engaged as needed.

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	<b>Compliance Partners</b>
			Institutional Equity (OIE)			
	Non-discrimination, Equal Opportunity, Affirmative Action, Equal Employment	Nondiscrimination protection based on race, religion, color, national origin, physical or mental disability, medical condition, pregnancy, marital status, sex, age, sexual orientation, gender identity, protected veteran status, or genetic information for participation in academic programs, activities or employment.	Office of Human Resources & Institutional Equity (OIE) Division of Student Affairs	Tile VI of the Civil Rights Act Title VII of the Civil Rights Act Executive Order 11246 Age Discrimination and Employment Act Equal Employment of Veterans	https://hr.tulane.edu/inst itutional-equity  https://studentaffairs.tul ane.edu/	
Accounting and Finance  Senior Vice President and Chief Operating Officer	Bank Accounts	Opening, closing or maintenance of University related bank accounts.	Office of the Controller	Administrative Guide 3.6.1 Bank Accounts Generally Accepted Accounting Principles (GAAP) financial statement audit requirements Know your customer (KYC)	https://coo.tulane.edu/divisions/finance-accounting https://controllerac.tulane.edu/	University Controller  Director, Central Procurement Services  Assistant Controller, Grants & Accounting
	Credit Card Transactions	Safeguarding credit card holder's sensitive data that is captured as part of a transaction.	Office of the Controller	Payment Card Industry (PCI) Data Security Standard	https://tulane.edu/establ ish-and-maintain-credit- card-merchant-accounts	Director, Sponsored Projects Administration
	Procurement/ Contracts	Oversight of vendor selection, competition and competitive bidding, permits and licenses, invoicing and payment management.	Office of the ControllerUniversity Procurement (Materials Management)	Tulane Purchasing Policies and Procedures	https://coo.tulane.edu/di visions/finance- accounting  https://procure.tulane.ed u/content/policies- procedures	- Additional Compliance Partners engaged as needed.
	Taxes	Complying with regulations, authoritative pronouncements and rulings by the Internal Revenue Service, and similar state and local laws and regulations.	Office of the Controller	Internal Revenue Service (IRS) Regulations State of Louisiana Tax Regulations and Unclaimed Property Regulations	https://coo.tulane.edu/divisions/finance-accounting https://controllerac.tulane.edu/	

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
	Grants Management	Complying with requirements related to government funding or property.	Office of Grants and Contracts Accounting Sponsored Projects Administration	False Claims Act Fraud Enforcement and Recovery Act	https://grants.tulane.edu	
Campus Safety Senior Vice President and Chief Operating Officer	Campus Security	Preparation, publication and distribution of annual security and fire safety report including crime statistics for the campus and surrounding areas.	Tulane University Police Department (TUPD)  Clery Act Coordinator	Clery Act Higher Education Opportunity Act (sections related to emergency notifications)	https://publicsafety.tula ne.edu/  https://publicsafety.tula ne.edu/sites/default/files /FINAL%20VERSION %20- %20ASR%202021- 22.pdf  https://publicsafety.tula ne.edu/clery-act	Tulane University Police Department (TUPD)  Clery Act Coordinator  Executive Director of Emergency Management  Additional Compliance Partners engaged as needed.
	Crime Reporting	Comprehensive and prompt campus crime and safety reporting.	Tulane University Police Department (TUPD)  Clery Act Coordinator	Clery Act	https://publicsafety.tula ne.edu/ https://publicsafety.tula ne.edu/sites/default/files /FINAL%20VERSION %20- %20ASR%202021- 22.pdf https://publicsafety.tula ne.edu/clery-act	
	Emergency Preparedness	Policies and procedures for preparation, prevention, and response to all-hazards events.	Emergency Preparedness & Response	Higher Education Opportunity Act (sections related to emergency notifications) Occupational Safety and Health Act of 1970	https://emergencyprep.t ulane.edu/	

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
Clinical Senior Vice President and Dean, School of Medicine	Billing (Patient Care)	Proper billing and medical records maintenance.	TUMG Compliance Office	Centers for Medicare & Medicaid Services (CMS) rules for Medicare and Medicaid billing  U.S. Department of Health and Human Services (HHS) Office of Inspector General (OIG) Work Plan	https://counsel.tulane.ed u/compliance/healthcare /tumg	Clinical Compliance Operations Committee
Copyrights and Trademarks  Senior Vice President for Academic Affairs and Provost  Vice President, University Communications and Marketing  General Counsel	Copyrights	Rights of authors of literary, dramatic, musical, artistic, and certain intellectual works.	Office of Academic Affairs  Information Security Office (DMCA Designated Agent)  Office of the General Counsel  Research Support, University Libraries	Copyright Act of 1976	https://provost.tulane.ed u/sites/default/files/02.0 7.22%20Faculty_Handb ook.pdf https://libguides.tulane.edu/copyright/Tulane or https://library.tulane.ed u/services https://it.tulane.edu/guid elines-copyright	Executive Creative Director, Communications and Marketing  Deputy Athletic Director, External Operations  Additional Compliance Partners engaged as needed.
	Plagiarism	Proper citation of referenced material used under fair use guidelines and not claiming another's work as your own.	Office of Academic Affairs Research Support, University Libraries	Copyright Act of 1976 Trademark Act of 1946	https://provost.tulane.ed u/sites/default/files/02.0 7.22%20Faculty_Handb ook.pdf https://college.tulane.ed u/code-academic- conduct	
	Trademarks, Images, and Name Use	Restrictions on how the Tulane name, emblems and other trademarks may be used.	Tulane Communications and Marketing Tulane Athletics Office of the General Counsel	Trademark Act of 1946	https://communications.tulane.edu/brand  https://tulanegreenwave.com/sports/2017/8/25/branding-style-guide	

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
Donors, Gifts and Endowments  Senior Vice President for Advancement	Donor Restrictions	Proper use, tracking and reporting of donor restricted gifts.	Advancement	Philanthropy Protection Act of 1995 Uniform Prudent Management of Institutional Funds Act (UPMIFA) Donor agreements American Council on Gift Annuities	https://giving.tulane.edu	Assistant VP Gift Planning, Legal Affairs & Compliance (Advancement)  Managing Director, Legal Affairs & Compliance (Advancement)  Additional Compliance Partners engaged as needed.
Environmental Health and Safety  Senior Vice President and Chief Operating Officer	Laboratory Safety	Laboratory safety and security generally related to procurement, storage, handling, and disposal of specific material categories such as biological, radiological, toxic and highly flammable chemicals.	Office of Environmental Health and Safety (OEHS)	Hazardous Materials Transportation Act OSHA Enforcement Guidance (personal protective equipment and hazardous materials) Toxic Substances Control Act Chemical Facility Anti-Terrorism Standards Louisiana Department of Environmental Quality (LDEQ)	https://risk.tulane.edu/oehs	University Environmental Health and Safety Committee  Additional Compliance Partners engaged as needed.
	Occupational Safety and Emergency Management	Environmental safety and health requirements not specific to labs including: occupational health, building safety, fire suppression, and emergency preparedness.	Office of Environmental Health and Safety (OEHS)  Emergency Preparedness & Response	Occupational Safety and Health Regulations (OSHA) Emergency Planning and Community Right Act (EPCRA) Louisiana Department of Environmental Quality (LDEQ)	https://risk.tulane.edu/oehs https://emergencyprep.tulane.edu/	
Global Senior Vice President for Academic Affairs and Provost Senior Vice President and Chief Operating Officer	Anti-bribery	Payments to or from foreign officials to assist in obtaining or retaining business, induce an individual to perform duties improperly, or gain other improper advantage.	Office of Global Affairs and Regulatory Compliance  Export Control Compliance, Research Compliance	Foreign Corrupt Practices Act (FCPA) UK Anti-Bribery Act	https://counsel.tulane.ed u/office-global-affairs- and-regulatory- compliance https://research.tulane.e du/compliance/export	Director of Global Operations  Associate Provost for International Affairs  Export Control Compliance Officer

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
	International Banking and Investment	International banking "Know Your Customer" requirements, cash management, currency exchange and international investment management.	Office of the Controller Investment Management Office	Report of Foreign Bank and Financial Accounts (FBAR) Office of Foreign Asset Control	https://controllerac.tula ne.edu/ https://endowment.tulan e.edu/	University Controller  Additional Compliance Partners engaged as needed.
	International Business Regulations, Corporate Registration/ Permanent Presence	US economic and trade sanctions, International permanent establishment requirements, corporate registration, and US, Foreign and Supra-national information reporting and compliance.	Office of Global Affairs and Regulatory Compliance	US Office of Foreign Assets Control (OFAC) embargoed and sanctioned countries Dept Of Treasury/IRS bilateral tax treaties OECD- Base Erosion & Profit Shifting; Transfer Pricing	https://counsel.tulane.ed u/office-global-affairs- and-regulatory- compliance	
	International Taxes	Non-compliance with US, international and supra-national Financial, Tax and Business regulations, various entity and individual taxes.	Office of Global Affairs and Regulatory Compliance Office of the Controller	Internal Revenue Service (IRS) Regulations U.S. Office of Foreign Assets Control sanctions Tax codes in countries Tulane operates	https://counsel.tulane.ed u/office-global-affairs- and-regulatory- compliance https://controllerac.tula ne.edu/	
Information Privacy and Security  Senior Vice President and Chief Operating Officer	Information Security	Preventing unauthorized access, use, disclosure, disruption, modification, inspection, recording or destruction of information with a majority being IT security (cybersecurity).	Information Security Office (ISO)	Minimum Security Standards guidelines and related Tulane adoption	https://it.tulane.edu/info rmation-security	Chief Information Security Officer  University Privacy & Data Compliance Officer
	Protection of Personal/ Individual Information	Protection of Personally Identifiable Information (PII), Protected Health Information (PHI), Personal Data and confidential information.	University Privacy Office	Health Insurance Portability and Accountability Act (HIPAA) Health Information Technology for Economic and Clinical Health Act (HITECH)	https://counsel.tulane.ed u/upo	Clinical Compliance Operations Committee  Additional Compliance Partners engaged as needed.
	Student Records	Protections regarding sharing student records, such as report cards, transcripts, disciplinary records, class schedules and contact and family information.	Office of the University Registrar	Family Educational Rights and Privacy Act (FERPA)	https://registrar.tulane.e du/	

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
	Data Protection and Privacy (European Union)	Disclosure of how the University collects, uses, and shares Personal Information (information that can be used to identify an individual person) gathered by any means.	University Privacy Office Information Security Office (ISO)	General Data Protection Regulation (GDPR)	https://tulane.edu/tulane -university-privacy- policy	
Intercollegiate Athletics  Director of Athletics  Senior Vice President for Academic Affairs and Provost	Conference College Sports Guidelines	Athletic activities must abide by conference guidelines.	Athletics  NCAA Compliance  Office	American Athletic Conference	https://tulanegreenwave .com/sports/2016/6/13/c ompliance-tul- compliance-html https://provost.tulane.ed u/about/provost-office- staff	Director of Athletics  Associate Athletic Director/Assistant Provost of NCAA Compliance  Assistant Provost of Title IX Compliance & Education
	NCAA Compliance	Athletic activities must abide by NCAA guidelines. Recruiting requirements, student-athlete obligations, playing and practice limits, reporting requirements, etc.	Athletics NCAA Compliance Office	National Collegiate Athletics Association (NCAA)	https://tulanegreenwave .com/sports/2016/6/13/c ompliance-tul- compliance-html https://provost.tulane.ed u/about/provost-office- staff	Additional Compliance Partners engaged as needed.
	Title IX (equal access)	No person may be excluded from participation or discriminated against based on sex for any interscholastic, intercollegiate, club or intramural athletics offered.	Title IX Office	Title IX of the Education Amendment of 1972	https://allin.tulane.edu/ti tle-ix	
Labor and Employment / Human Resources  Vice President for Human Resources and Institutional Equity	Conflict of Interest / Conflict of Commitment (Staff) See Research for Faculty	Protecting primary professional allegiance to the University and appropriate disclosure and management of significant financial interests in, or consulting or employment arrangements with, other (non-University) concerns.	University Compliance	Tulane University Policies on Conflicts of Commitment and Interest	https://counsel.tulane.ed u/conflict-interest https://coi.tulane.edu	Conflict of Interest Committee  Executive Director for Campus Accessibility & ADA/504 Coordinator  Assistant Provost of Title IX

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Senior Vice President for Academic Affairs and Provost	Employee Benefits	Benefits and retirement plan disclosures such as Healthcare program structure, workplace standards and unemployment insurance.	Office of Human Resources & Institutional Equity (OIE)	The Family and Medical Leave Act Drug Free Workplace Act Employee Retirement and Income Security Act (ERISA)	https://hr.tulane.edu/ben efits-compensation	Compliance and Education  Associate Provost for Diversity and Faculty Development / Chief
	Equal Opportunity and Access	See Accessibility and Diversity section.	Office for Campus Accessibility, Office of Human Resources & Institutional Equity (OIE)	See Accessibility and Diversity section	https://accessibility.tula ne.edu/	Diversity Officer  Additional Compliance Partners engaged as needed.
	Immigration (Faculty/ Staff)	Following U.S. Visa, green card and citizenship application and management guidelines, international travel restrictions and requirements, employment as a non-US Citizen, and related executive orders.	Office of International Students & Scholars (OISS)  Office of the General Counsel	Immigration and Nationality Act U.S. Citizenship and Immigration Services, Department of Homeland Security Travel Ban Executive Order	https://counsel.tulane.edu/ https://counsel.tulane.ed u/immigration-policy	
	Recruitment, Hiring and Termination	Establishing background check and disclosures, non-discrimination, and terminated employee rights and benefits (such as COBRA and timely payment of wages).	Office of Human Resources & Institutional Equity (OIE)Office of Academic Affairs	Consolidated Omnibus Budget Reconciliation Act (COBRA)Federal- State Unemployment Insurance ProgramVeterans' Employment and Training Service (VETS)	https://hr.tulane.edu/ https://provost.tulane.ed u/academic- affairs/faculty-hiring	
	Sexual Harassment (Faculty/ Staff)	Prevention and addressing inappropriate gender-based or sexual behavior including sexual harassment, prohibited sexual conduct, consensual sexual relationships, and Title IX Administrative Policy and Procedures.	Office of Human Resources & Institutional Equity (OIE) Title IX Office	Title VII of the Civil Rights Act Title IX of the Education Amendment	https://hr.tulane.edu/ https://allin.tulane.edu/ti tle-ix	
	Workers' Compensation	Workers' Compensation is an employment benefit for work-related injuries/illnesses.	Workers' Compensation, Office of Enterprise Risk Services	Louisiana Revised Statute 23:1020	https://risk.tulane.edu/workcomp	
Research Senior Vice President for Academic Affairs and Provost Senior Vice President and Chief	Animal Subjects	Adhering to the minimum standards for housing, feeding, handling, veterinary care, and for some species, psychological well-being of animal subjects.	Institutional Animal Care & Use Committee (IACUC) Research Compliance Office	Animal Welfare Act (AWA) Public Health Service Policy on the Humane Care and Use of Laboratory Animals (NIH)	https://research.tulane.e du/iacuc https://research.tulane.e du/compliance	Research Compliance Officer  Research Compliance Operations Committee  Executive Director of

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
Operating Officer  Senior Vice President and Dean, School of Medicine			Tulane National Primate Research Center (TNPRC)			Biosafety, Biocontainment Operations and Quality Assurance Director, Human Research
	Biosafety & Biosecurity	Protection of personnel and environment regarding biohazardous materials and recombinant and synthetic nucleic acids	Office of Biosafety Research Compliance Office	Occupational Safety and Health Administration (OSHA) rules NIH/CDC (Biosafety in Microbiological and Biomedical Laboratories)	https://research.tulane.e du/biosafety https://research.tulane.e du/compliance	Protection Office and Program  Radiation Safety Officer  Director, Tulane Institutional Animal Care & Use Committee  Associate Director and Chief Operations Officer TNPRC  Export Control Compliance Officer  Conflict of Interest Committee  Institutional Research Conflict of Interest Committee  Additional Compliance Partners engaged as needed.
	Conflict of Interest / Conflict of Commitment (Faculty & Academic Staff)	Disclosure of outside professional activities that may have an impact on the design, conduct or reporting of research. Faculty members owe their primary professional allegiance to Tulane University, and their primary commitment of time and intellectual energies should be to the education, research, and scholarship programs of the institution.	University Compliance Research Compliance	Public Health Service Responsibility of Applicants for Promoting Objectivity in Research (42 C.F.R. Part 50, Subpart F) National Science Foundation and National Institutes of Health financial disclosure requirements	https://counsel.tulane.ed u/conflict-interest https://coi.tulane.edu https://research.tulane.e du/compliance	
	Export Control	Regulations that impose access, dissemination, participation, or financial restrictions on the transfer of items, information or services for reasons of national security, trade sanctions policy, anti-terrorism or non-proliferation.	Export Control Compliance Office, Research Compliance Office	International Traffic in Arms Regulations (ITAR) Export Administration Regulations (EAR) Office of Foreign Assets Control Trade Sanctions Regulations (OFAC)	https://research.tulane.e du/compliance/export	
	Human Subjects and Stem Cells	Following federal policy or the "Common Rule" for Institutional Review Boards (IRB) oversight and ethics, additional protections for prisoners, children, pregnant women, human fetuses, neonates and any others participating in human stem cell research.	Human Research Protection Office Research Compliance Office	Department of Health and Human Services Title 45 CFR 46	https://research.tulane.e du/hrpo https://research.tulane.e du/compliance	engugeu as neeueu.

Compliance Area University Cabinet Member(s)	Specific Compliance Area	Examples of Requirements	Responsible Office(s)	Example Applicable Regulations	Resources	Compliance Partners
	Radiological Safety	Ensuring safe use of ionizing radiation and radiation producing devices to protect device users and the public as well as authorizing permits for radiation use and x-ray device use, providing appropriate training for users, monitoring exposure to radiation and safe disposal of radioactive waste.	Radiation Safety, Office of Environmental Health & Safety (OEHS) Research Compliance Office	Nuclear Regulatory Commission Standards for Protection Against Radiation	https://risk.tulane.edu/e hs/radiation-safety  https://research.tulane.e du/compliance	
	Research Misconduct	Procedures for reporting and investigating allegations of research misconduct, and for the required notifications to federal agencies of such allegations and investigations.	Research Compliance Office	May relate to all research associated regulations listed in other research, copyright and plagiarism, or accounting and finance sub-topics	https://research.tulane.e du/compliance	
	Research Policy and Training	Recommends and promulgates research policy regarding research, the conduct of research and research administration. Principal Investigator training provides instruction regarding the conduct of research, managing the financial aspects of research, and research administration in compliance with Tulane policies, federal and state laws, sponsor requirements and award terms and conditions.	Research Compliance Office	Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (OMB Uniform Guidance Title 2 Part 200)	https://research.tulane.e du/compliance	
Student Related (not covered elsewhere)  Vice President, Student Affairs	Drug and Alcohol	Education, notification, prevention, and fair implementation of administrative actions related to underage drinking and use of illegal drugs or drugs not prescribed.	Campus Health	Drug Free Schools and Communities Act Clery Act Higher Education Opportunity Act (Drug Policy Notification)	https://campushealth.tul ane.edu/about/policies/a lcohol-and-other-drugs- policy	Assistant Provost of Title IX Compliance and Education Sexual Misconduct Response Team (SMRT)
	Immigration (Students)	Providing guidance around F-1/J-1 Student and Scholar Visas and restrictions for students traveling internationally.	Office of International Students & Scholars (OISS)	Immigration and Nationality Act U.S. Citizenship and Immigration Services, Department of Homeland Security Travel Ban Executive Order	https://oiss.tulane.edu/	Additional Compliance Partners engaged as needed.
	Sexual Harassment and Assault	Informing and enforcing Title IX protections, education, and incident notification.	Title IX Office	Title IX of the Education Amendment of 1972	https://allin.tulane.edu/ti tle-ix	

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Technology and Licensing  Senior Vice President for Academic Affairs and Provost	Licensing, Intellectual Property and Contracting	Protection of patents, copyrights (software), Tangible Research Property, and outgoing Material Transfer Agreements for biological materials	Office of Intellectual Property Management	Patent Act U.S. Code of Federal Regulations Title 37	https://research.tulane.e du/ott	Executive Director Intellectual Property Management  Additional Compliance Partners engaged as needed.
Other Areas  Vice President, University Communications and Marketing  Senior Vice President and Chief Operating Officer	Political Activities	Including lobbying activities, endorsements, financial contributions, or other political related activities that may endanger the University's 501(c)(3) status.	Office of Government and Community Relations	Internal Revenue Service (IRS) guidance on political activity at 501(c)(3) entities  State of Louisiana lobbyist registration requirements	https://governmentrelations.tulane.edu/	Vice President for Government Affairs  Director, Institutional Program Development  Director of Employee Relations  Additional Compliance Partners engaged as needed.
Vice President for Human Resources and Institutional Equity	Building Regulations and Ordinances	Ensuring buildings are built and renovated in compliance with applicable building codes and ordinances within the appropriate jurisdiction.	Campus Services	Uniform Building Code National Fire Protection Association	https://campusservices.t ulane.edu/	
	Volunteers	Volunteer protections and requirements (e.g. waivers, insurance coverage, background checks, vaccinations, etc.) may vary based upon specific roles and responsibilities of the volunteer.	Office of Human Resources & Institutional Equity	Volunteer Protection Act of 1997	https://hr.tulane.edu/em ployee- relations/volunteer- policy	